JC09 Rec'd PCT/PTO 29 SEP 2003

Docket No.

278483US6PCT

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Luis J. DIAZ, et al.

SERIAL NO: New U.S. PCT Application Based on PCT/US04/07707

GAU:

FILED:

**EXAMINER:** 

Herewith

FOR:

GENERATING ROYALTY REVENUE USING INTELLECTUAL PROPERTY

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

#### REFERENCES

- The applicant(s) wish to make of record the references cited in the International Search Report and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

### RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

## **CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

### **DEPOSIT ACCOUNT**

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Gregory J. Maier

Registration No. 25,599

**Customer Number** 

22850

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03)

Surinder Sachar

Registration No. 34,423

10/551440

# JC09 Rec'd PCT/PTO=T29 SEP 2005.

Form PTO 1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE			278483US6PCT		SERIAL NO.  New U.S. PCT Application  Based on PCT/US04/07707				
				APPLICANT					
LIST OF	KEFEI	RENCES CITED BY AI	PPLICANI	Luis J. DIAZ, et al.					
				FILING DATE		GROUP			
				Herewith U.S. PATENT DOCUMENTS				<del></del>	
		5001145117		U.S. PATENT DOCUMENTS		CUD		II INC DATE	
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB FILING DATE CLASS IF APPROPRIATE			
	AA	5,775,734	07/07/98	GEORGE, Ralph N. Jr.					
	AB								
	AC								
	AD								
	AE								
	AF								
	AG								
	AH								
	Al								
	AJ								
	AK								
	AL								
	AM			5 - 1, 29 - 1, 1 - 1 - 1					
	AN		<u></u>	4. 6.42. 14. 14.					
			FO	REIGN PATENT DOCUMENTS					
		DOCUMENT NUMBER	DATE	COUNTRY		TRANSLATION YES NO			
	AO								
	AP								
	AQ			<u>-</u>					
	AR								
	AS								
	AT								
	AU						,		
	AV								
		OTHER R	EFERENCES (	Including Author, Title, Date, Pertine	ent Pages, e	tc.)			
		KROSIN, Kenneth E.	, "STRATEGIES	IN CREATION OF INTELLECTUAL F	PROPERTY	ASSETS",	Pages 2	2-17, 2000	
	AW								
	AX								
	AY				_	0			
	AZ				Addi	Additional References sheet(s) attached			
Examiner					Date Cor	ate Considered			
*Examiner: In	itial if r	eference is considered ot considered. Include of	, whether or not	citation is in conformance with MPEP with next communication to applicant.	609; Draw lii	ne through	citation	if not in	